



FLORIDA STATE UNIVERSITY

BOARD OF TRUSTEES

Audit and Compliance Committee

MEETING AGENDA

Thursday, September 12, 2024

1:45 – 3:00 pm

Augustus B. Turnbull Conference Center
555 W Pensacola St, Tallahassee, FL 32306
Room 201

The agenda will be followed in subsequent order and items may be heard earlier than the scheduled time.

I. Call to Order and Welcome

Trustee Maximo Alvarez, Chair

II. Approval of Minutes

June 20, 2024, Meeting Minutes

III. Office of Compliance and Ethics

Action Items for Consideration of Recommendation to the Board of Trustees

Mr. Robert Large, Chief Compliance & Ethics Officer

- a. **Action Item I: Request for Approval:** Office of Compliance and Ethics Charter revision
- b. **Action Item II: Request for Approval:** Acceptance of Office of Compliance and Ethics 2024-2025 Annual Report and Work Plan

IV. Office of Compliance and Ethics Informational Items and Updates

Mr. Robert Large, Chief Compliance & Ethics Officer

- Staffing update
- Foreign gifts and contracts reporting
- International travel reporting
- Commission on Ethics Form 1 filing update
- External review update

V. Office of Audit and Advisory Services

Action Items for Consideration of Recommendation to the Board of Trustees

Mr. Undra Baldwin, Chief Audit Officer

- a. **Action Item I: Request for Approval:** Office of Audit and Advisory Services (OAAS) Post-Tenure Review Audit Results

VI. Office of Audit and Advisory Services Informational Items and Updates

Mr. Undra Baldwin, Chief Audit Officer

- a. Status Update
- b. Board of Governors (BOG) Regulation 4.002.(8) – Annual Report

VII. Open Forum for Trustees

Trustee Maximo Alvarez, Chair

VIII. Adjournment

Trustee Maximo Alvarez, Chair