Florida State University  
Board of Trustees  
Audit and Compliance Committee  
Meeting Agenda  
September 23, 2021

1. Welcome to Attendees

2. Approval of Minutes for the June 17, 2021, Committee Meeting  
   
3. Office of Compliance and Ethics  
   a. Updates since June 18, 2021, Board of Trustees Meeting  
      i. CAMS Project  
      ii. HB7017, Foreign Influence  
      iii. Form 1 Filing  
   b. Renewal of Office of Compliance and Ethics Charter (for Committee Recommendation to be on BOT Consent Calendar)  
   c. Presentation of 2020-2021 Annual Report and 2021-2022 Work Plan

4. Office of Inspector General Services  
   a. Office of Inspector General Services 2021-2022 Audit Plan (for Committee Recommendation to be on BOT Consent Calendar)  
   b. Revision to FSU Regulation 2.025 (for Committee Recommendation to be on BOT Consent Calendar)  
   c. Proposed BOT Regulation Review 2.027 to Implement BOG Regulation 3.003 – Fraud Prevention (For Committee feedback, Presentation to full BOT, and approval at next BOT meeting))  
   d. Recent Audits Released or in Progress  
      i. Cares – Institutional Portion  
      ii. Results of Presentation of the Joint College of Engineering Audit to the Joint College Management Council  
   e. Listing of Audits Issued, In Progress, and Status

5. Motion to Adjourn
Members Participating: Max Alvarez, Jim Henderson, Jorge Gonzalez, and Jonathan Levine all joined via Zoom.

1. Call to Order and Welcome

Mr. Jorge Gonzalez, Chairperson, called the meeting to order at 12:30PM.

2. Approval of February 18, 2021, Audit & Compliance Committee Meeting Minutes

Audit and Compliance Committee minutes were approved for the meeting held February 18, 2021.

3. Office of Compliance and Ethics (OCE)

a. Updates since February 19, 2021, Board of Trustees Meeting

Ms. Blank updated the Committee on the office’s activities since the February 19, 2021 Board of Trustees meeting.

i. CAMS (Conflict Administration and Management System) Project

Ms. Blank updated the Committee on the CAMS (Conflict Administration and Management System) project.

ii. HB7017/SB2010 (Foreign Policy) Update

Ms. Blank updated the Committee on HB7017/SB2010 (Foreign Influence).

iii. Form 1 Filing

Ms. Blank explained the Form 1 filings and thanked the Committee for submitting in a timely manner.

iv. FBI Office of Private Sector
Ms. Blank provided an update on her participation with the FBI Office of the Private Sector, an outreach and information-sharing venture of the FBI’s Jacksonville Office that includes academic institutions, research entities, and private businesses.

v. Preview of September Items

1. Office of Compliance and Ethics Charter (Three-Year Review)

Ms. Blank provided an update to the Committee on the Three-Year Review of the OCE’s Charter.

2. Approval of Peer Review Methodology for BOG Five-Year Review

Ms. Blank provided an update to the Committee on the Five-Year Review. She also explained the options on how the Five-Year review can be conducted.

4. Office of Inspector General Services

a. WFSU Public Media, TV and FM, Request for Approval of External Audit Contract (Consent Item)

Mr. McCall requested the Committee to recommend BOT approval on Consent at the BOT meeting.


Mr. McCall explained the cited significant deficiency in internal control over the return of Title IV funds. Mr. McCall further explained the definition of a significant deficiency and provided the Committee with FSU’s response to the Auditor General.

c. Annual Approval of Audit and Compliance Committee Charter

Mr. McCall requested the Committee to recommend BOT approval on Consent at the BOT meeting.

d. Annual Approval of the Office of Inspector General Services Charter

Mr. McCall requested the Committee to recommend BOT approval on Consent at the BOT meeting.

e. Proposed Revision to FSU Regulation 2.025
Mr. McCall presented the proposed revisions to FSU Regulation 2.025 – Direct Support Organizations for BOT approval.

f. **Additional Staff Certifications – Pamela Damitz, CFE and Sudeshna Aich, CDPSE**

Mr. McCall explained that Pamela Damitz recently received her designation for Certified Fraud Examiner. Mr. McCall also explained that Sudeshna Aich recently received her designation for Certified Data Privacy Solutions Engineer. He also explained that of the 8 professional staff, the OIGS has 1 PhD, 4 Masters Degrees, and 3 Bachelors Degrees. Among the OIGS staff, there are a total of 25 professional designations.

g. **Review of BOG Regulation 3.003 – Fraud Prevention**

Mr. McCall reviewed and provided a copy to the Committee of BOG Regulation 3.003 – Fraud Prevention.

h. **Recent Audits Released**

Mr. McCall provided a list of OIGS audits of interest/planned to be issued by June 30, 2021.

i. **Listing of Audits Issued, In Progress, and Status**

Mr. McCall provided a listing of OIGS’ audits issued, in progress, and the status.

5. **Motion to Adjourn**

Trustee Gonzalez adjourned the meeting at 1:30PM.
# Status Report for OIGS

## Issued Reports

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<tr>
<th>Status</th>
<th>Planning</th>
<th>Field Work</th>
<th>Writing</th>
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## Reports in Progress

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